

City & County of Swansea Audit Committee Update – March 2017

Financial audit work 2015-16 – City & County of Swansea Pension Fund

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2015-16.	Audit Committee April 2016.
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2015-16 financial statements and Annual Audit Letter.	Audit Committee September 2016.

Financial audit work 2015-16 – City & County of Swansea

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2015-16.	Audit Committee April 2016.
Financial Statements 2015-16	Audit of the Council's 2015-16 financial statements.	Audit Committee September 2016.
Certification of Grants and Returns 2015-16	Summary of grants and returns certification work 2015-16.	Audit Committee June 2017.
Annual Audit Letter	Report summarising our 2015-16 financial audit work.	Audit Committee January 2017.

Performance Audit work - City & County of Swansea

2016-17 Improvement Assessment	Scope	Status
Corporate Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Completed. Certificate issued June 2016.
Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Completed Certificate issued November 2016
Financial Resilience	Councils are continuing to deal with austerity and an uncertain future. This review will seek to provide assurance that arrangements are focused on maintaining resilience over the medium to long term.	Final report to be issued March 2017
Governance	This review will provide councils with a baseline from which to plan improvements to governance following the introduction of CIPFA's revised framework and the requirement for councils to adopt the sustainable development principle from April 2016	Drafting
Aligning the levers of Change	Facilitated self-assessment with officers in first stage and elected members second stage.	Project brief to be issued March 2017. Stage one dates to be confirmed (April –June 2017) stage two dates to be confirmed.
Audit Committee facilitated self-assessment	Wales Audit Office facilitated Audit Committee self assessment.	Completed February 2017
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	June 2017

2016-17 Local Government Studies	Scope	Status
Improving wellbeing through housing adaptations	We will review how adaptations prevent access to and speed up discharge from hospitals. The work will look at how health use adaptations to underpin their activity as well as how efficient and effective organisations are at procuring and delivering adaptations work.	In progress Swansea has not been selected to take part in the detailed fieldwork of this study.
Strategic commissioning of learning disability services by local authorities	Fieldwork will focus on both the corporate approach to strategic commissioning but also use findings from the tracer area to understand how effective operational arrangements are. We are working with CSSIW and SSIA and will be building on recent national inspection and support work on learning disabilities.	In progress. Swansea has not been selected to take part in the detailed fieldwork of this study.
How local government manages demand - Homelessness services	The study will focus on homelessness in local authorities using the recent prevention duties placed on local authorities to judge how demand for services is managed.	In progress. Swansea has been selected to take part in the detailed fieldwork which will be carried out between February and March 2017.

National Studies	Update and link to report
Welsh Government oversight of further education finances and delivery	Published Feb 2017 Welsh Government oversight of further education finances and delivery
Public Procurement	In progress